

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	:	
	:	Atty Dkt No.: 003433.00096
Subhra BOSE et al.	:	
	:	
Serial No.: 10/560,285	:	
	:	
Filed: December 12, 2005	:	
	:	
For: BUSINESS PROCESS AUTOMATION	:	

PETITION UNDER 37 C.F.R. § 1.47(a)

Commissioner of Patents
 U.S. Patent and Trademark Office
 Customer Service Window
 Randolph Building
 401 Dulany Street
 Alexandria, VA 22314

Sir:

This submission is being filed on the same date as a response was filed in the United States Designated/Elected Office (DO/EO/US) for the referenced application to the Notification of Missing Requirements Under 35 U.S.C. § 371 dated January 31, 2007 (Missing Requirements Response).

The Assignee of the referenced patent application, Reuters America, Inc., 3 Times Square, 20th Floor, New York, NY 10036, and signed joint inventors Steve Scimone, Nallan Sriraman, Arthur Bernstein and Philip Lewis hereby petition to act as the Rule 47(a) Applicants for nonsigned joint inventors Subhra Bose, Ziyang Duan and Radu Grosu in the above-referenced application for the reasons outlined below.

The requirements for a grantable petition under 37 C.F.R. § 1.47 (a) requires (1) the requisite fee under 37 CFR §1.17(g) (\$200), (2) an oath or Declaration executed by the available joint inventor(s), (3) the last known address of the nonsigned inventor(s), and (4) a petition

including proof of the pertinent facts establishing that the joint inventor(s) refuses to join or cannot be found or reached after diligent effort.

(1) Fee

Please charge our Deposit Account Number 19-0733 the fee in the amount of \$200.00 for the filing of this petition pursuant to 37 C.F.R. § 1.17(g) and any other fee that is necessary.

(2) Executed Declarations for the signed inventors

The executed declarations for signed joint inventors Steve Scimone, Nallan Sriraman, Arthur Bernstein and Philip Lewis were submitted on the same date, August 31, 2007, to the United States Patent and Trademark Office along with the Missing Requirements Response.

(3) Last known addresses

A. Subhra Bose

(Address 1)

88 Parkway Dr.

Hauppauge, NY 11788-2012

(Address 2)

78 New Chalet Drive #4

Mohegan Lake, NY 10547

B. Ziyang Duan

(Address 1)

38 Crown Acres Rd.

Centereach, NY 11720-1704

(Address 2)

32 West Ave.

Hicksville, NY 11801-4620

C. Radu Grosu

(Address 1)

32 Neptune Road

Rocky Point, NY 11778

(Address 2)

Stony Brook University

Computer Science Department

1425 Computer Science Building

Stony Brook, NY 11794-4400

(4) Proof of inability to reach inventors after diligent effort

A. SUBHRA BOSE

On Feb. 8, 2006, the complete patent application, a Declaration, and a U.S. Assignment document were delivered to the address noted above in Hauppauge, NY, to the attention Subhra Bose and signed for by M. Pilz. (Exhibit A). The cover letter for the Feb. 8 delivery asked Mr. Bose to review the application, sign the Declaration and Assignment documents, and return the executed documents to the undersigned. (Exhibit B).

On April 7, 2006, the undersigned obtained an apparently updated address for Mr. Bose from a background report on Mr. Bose provided by Intelius (Exhibit C). On April 10, 2006, the complete patent application, the Declaration, and the U.S. Assignment document were delivered to his attention at the address noted above in Mohegan Lake, NY, and signed for by R. Bose (Exhibit D). The cover letter for the April 7 delivery also asked Mr. Bose to review the application, sign the Declaration and Assignment documents, and return the executed documents to the undersigned.

The undersigned has attempted numerous times since June 2006 through August 31, 2007, to obtain updated contact information for Mr. Bose and to contact him via telephone, but has been unsuccessful in locating updated address information or a correct telephone number. Both addresses noted above continue to show up as current addresses in background searches (latest search performed on August 31, 2007). As of August 31, 2007, the undersigned has not received any communication from Mr. Bose.

B. ZIYANG DUAN

On Feb. 7, 2006, the complete patent application, a Declaration, and a U.S. Assignment document were sent to the address noted above in Centereach, NY, to the attention of Ziyang Duan via Federal Express, but were returned to the undersigned as being an incorrect address for Mr. Duan. (Exhibit E). The cover letter for the Feb. 7 mailing asked Mr. Duan to review the application, sign the Declaration and Assignment documents, and return the executed documents to the undersigned. (Exhibit F).

On April 7, 2006, the undersigned again sent the complete patent application, the Declaration, and the U.S. Assignment to the address noted above in Centereach, NY, to the

attention of Ziyang Duan via certified U.S. mail with a request to forward the mailing to a forwarding address, if available. The April 7 mailing was also returned to the undersigned as being the incorrect address and no forwarding address was indicated as being available.

The undersigned has attempted numerous times since June 2006 through August 31, 2007, to obtain updated contact information for Mr. Duan and to contact him via telephone, but has been unsuccessful in locating updated address information until recently or a correct telephone number. The Hicksville, NY, address noted above appeared as a potential updated address for Mr. Duan in a background search performed on August 31, 2007. (Exhibit G). As of August 31, 2007, the undersigned has not received any communication from Mr. Duan.

C. RADU GROSU

On Feb. 7, 2006, the complete patent application, a Declaration, and a U.S. Assignment document were sent to the address noted above in Rocky Point, NY, to the attention of Radu Grosu via Federal Express, but were returned to the undersigned as being undeliverable ("Recipient was not in when we attempted delivery"). (Exhibit H). The cover letter for the Feb. 7 mailing asked Mr. Grosu to review the application, sign the Declaration and Assignment documents, and return the executed documents to the undersigned. (Exhibit I).

On March 8, 2006, the undersigned obtained a business address for Mr. Grosu at Stony Brook University where he was and currently is listed as a member of the faculty. (Exhibit J). On March 9, 2006, the complete patent application, the Declaration, and a U.S. Assignment document were delivered to the receptionist at the address noted above at Stony Brook University in Stony Brook, NY to the attention of Mr. Grosu and signed for by K. Germana (Exhibit K). The cover letter for the March 9 delivery also asked Mr. Grosu to review the application, sign the Declaration and Assignment documents, and return the executed documents to the undersigned.

The undersigned has attempted numerous times since June 2006 through August 31, 2007, to obtain updated contact information for Mr. Grosu, but has been unsuccessful in locating updated address information. However, the undersigned has called Mr. Grosu several times since June 2006 through August 31, 2007, at his business number at Stony Brook University (631-632-9801) and has left voice messages (note that Mr. Grosu's business telephone would not accept messages during the summer of 2007 through Aug. 31, 2007 due to the mail box being

full). The addresses noted above continue to show up as current addresses in background searches and the web site for Stony Brook University (latest searches performed on August 31, 2007). As of August 31, 2007, the undersigned has not received any communication from Mr. Grosu.

Conclusion

Applicants respectfully submit that sufficient proof has been provided of diligent efforts to reach nonsigning joint inventors Subhra Bose, Ziyang Duan and Radu Grosu. Accordingly, the Assignee and signing joint inventors of the referenced patent application petition to act as the Rule 47(a) Applicants for nonsigning joint inventors Subhra Bose, Ziyang Duan and Radu Grosu in the above-referenced application.

The undersigned, on behalf of the Assignee and the signing inventors, hereby petitions to proceed with the application in the name of the Assignee and the signing inventors.

Respectfully submitted,

Dated: August 31, 2007

By:



Anthony W. Kandare, reg. no. 48,830

BANNER & WITCOFF, LTD.
1100 13TH Street, NW
Suite 1200
Washington, D.C. 20005-4051
(202) 824-3244

EXHIBIT A

Express

FedEx

FedEx | Ship Manager | Label 7908 0508 0580

Page 1 of 1

From: Origin ID: (202)508-9188
Donna Hobert
Barner & Wilcott
1001 G St NW Suite 1100
Washington, DC 20001



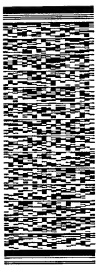
SHIP TO: (202)624-3244
Subtra Bose

BILL SHENKER

CLIENTS/NAME

88 Parkway Drive

Hauppauge, NY 11788



Ship Date 07FEB06
Active ID 1B225991NET2400
Account ID *****

REF: 003433.00036



Delivery Address Bar Code

PRIORITY OVERNIGHT

TRK# 7908 0508 0580

FORM
0201

JFK

WED
Deliver By:
08FEB06
AA

11788 -NY-US
DSR

Z5 NWSA



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Detailed Results

Print

Tracking number	790805080580	Reference	003433.00096
Signed for by	M.PILZ	Destination	Hauppauge, NY
Ship date	Feb 7, 2006	Delivered to	Shipping/Receiving
Delivery date	Feb 8, 2006 9:45 AM	Service type	Priority Pak
		Weight	1.0 lbs.

Status Delivered

Signature Proof of Delivery

Click **Request copy of signature** to view delivery information for this shipment.

Signature Image

[Request copy of signature](#)

Date/Time	Activity	Location	Details
Feb 8, 2006	9:45 AM	Delivered	Hauppauge, NY
	8:52 AM	On FedEx vehicle for delivery	RONKONKOMA, NY
	8:26 AM	At local FedEx facility	RONKONKOMA, NY
	4:06 AM	Arrived at FedEx location	OAKLAND, CA
	1:38 AM	Departed FedEx location	NEWARK, NJ
	12:24 AM	Arrived at FedEx location	NEWARK, NJ
Feb 7, 2006	8:54 PM	Left origin	WASHINGTON, DC
	7:58 PM	Picked up	WASHINGTON, DC
	10:47 AM	Package data transmitted to FedEx	

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Your Name: Your Email Address:

Email address	Language	Exception updates	Delivery updates
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>

Select format: ☒ HTML ☐ Text ☐ Wireless

Add personal message:

Not available for Wireless or non-English characters.



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INTELLECTUAL PROPERTY LAW

1001 G STREET, N.W.
WASHINGTON, D.C. 20001-4597

TEL: 202
FAX: 202
www.bw

EXHIBIT B

Anthony

Direct Dial: (202) 824-3244
akandare@bannerwitcoff.com

February 7, 2006

Subhra Bose
88 Parkway Drive
Hauppauge, NY 11788

VIA FEDERAL EXPRESS

Re: U.S. National Phase Patent Application No. 10/560,285
Based on PCT/US2004/018435, filed 10 June 2004
Entitled: BUSINESS PROCESS AUTOMATION
Named inventors: Subhra Bose et al.
Applicant: Reuters America, Inc.
Our Reference no. 003433.00096

Dear Subhra:

You are listed as one of the inventors on the above referenced patent applications. As outside counsel for Reuters, we need to confirm that you contributed to the conception of at least one of the pending claims in these applications and, thus, should be listed as an inventor on them. If so, we need to obtain your signature on the enclosed Declaration and Assignment forms as soon as possible so as to perfect the filing of the patent applications.

The applications are identical, as the U.S. application is simply a copy of the international patent application as filed in the United States. Thus, we have only included a copy of the parent international patent application as published for your review.

Please review the enclosed application and your residence and citizenship information listed on the attached Declaration and Assignment forms. After doing so, please sign and date the Declaration and Assignment forms, and return the signed documents to me in the enclosed Federal Express envelope.

If you have any questions, or believe you should not be listed as an inventor on these applications, please contact me on 202-824-3244 as soon as possible.

Sincerely,

Anthony W. Kandare

AWK/dah
Enclosures

CHICAGO

WASHINGTON, D.C.

BOSTON

PORTLAND, OR

EXHIBIT C

Member Status: Basic akandare@bannervitcoff.com [Sign Out]
 Home | My ID Watch | My Reports | My Account | Help

People Search

Search By Phone

Background Check

Criminal Check

Identity Theft

[Email This Report To akandare@bannervitcoff.com](mailto:akandare@bannervitcoff.com)
Your Reports:

Products	Order No.	Reports Used	Reports Left	Expiration Date
24 Hour Pass - Search Now	5123282	2	Unlimited	04/08/2006 10:46 AM

PEOPLE SEARCH REPORT - 1 Records Found**PREMIUM CONFIRMATION SERVICE**

- Each of the **Addresses & Phone Numbers** listed in the report below were **verified against public utilities.**
- All addresses and phone numbers **WITHOUT** a verified resident and current phone connection are **NOT** highlighted with red text. Some addresses and phone numbers may still be current but not confirmed via public utilities.

Name	Additional Reports	Approx Age	Birth Date	Address/Phone	Neighborhood
1 SUBHRA BOSE	Background Report	36	-	78 NEW CHALET DR #4 MOHEGAN LAKE, NY 10547	Avg. Income \$71,875 Avg. House Price \$198,200

Name & Address Social Security Number

First Name

MI

Last Name

State

Subhira

Bose

New York

Current or Previous Address


City

78 NEW CHALET DR #4

MOHEGAN LAKE

Not Required. Help us narrow down results by providing current or previous address



 **Click Here** to search by **Social Security #** of the person

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Donna Holbert**EXHIBIT D**

From: TrackingUpdates@fedex.com
Sent: Monday, April 10, 2006 12:34 PM
To: Donna Holbert
Subject: FedEx Shipment 790386310721 Delivered

Our records indicate that the following shipment has been delivered:

Tracking number:	790386310721
Reference:	003433.00096
Ship (P/U) date:	Apr 7, 2006
Delivery date:	Apr 10, 2006 11:49 AM
Sign for by:	R. BOSE
Delivered to:	Residence
Service type:	FedEx Priority Overnight
Packaging type:	FedEx Pak
Number of pieces:	1
Weight:	1.0 LB

Shipper Information	Recipient Information
Donna Holbert	Subhra Bose
Banner & Witcoff	78 New Chalet Drive #4
1001 G St. NW Suite 1100	Mohegan Lake
Washington	NY
DC	US
US	10547
20001	

Special handling/Services:
Deliver Weekday
Residential Delivery
Direct Signature Required

Please do not respond to this message. This email was sent from an unattended mailbox. This report was generated at approximately 10:54 AM CDT on 04/10/2006.

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All weights are estimated.

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This tracking update has been sent to you by FedEx on the behalf of the Requestor noted above. FedEx does not validate the authenticity of the requestor and does not validate, guarantee or warrant the authenticity of the request, the requestor's message, or the accuracy of this tracking update. For tracking results and fedex.com's terms of use, go to fedex.com.

Thank you for your business.


 Track Shipments
 Detailed Results

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**EXHIBIT E**
 Tracking number 790805022852
 Ship date Feb 7, 2006

 Reference
 Destination
 Service type
 Weight

 003433.00096
 Centereach, NY
 Priority Pak
 1.0 lbs.

Status Delivery exception

Date/Time	Activity	Location	Details
Feb 15, 2006 11:18 AM	Package returned to shipper	RONKONKOMA, NY	Return tracking number: 790323442059
Feb 14, 2006 12:10 PM	At local FedEx facility	RONKONKOMA, NY	
Feb 13, 2006 9:08 AM	At local FedEx facility	RONKONKOMA, NY	
Feb 11, 2006 8:02 AM	At local FedEx facility	RONKONKOMA, NY	
Feb 10, 2006 11:47 AM	At local FedEx facility	RONKONKOMA, NY	
Feb 9, 2006 9:16 AM	At local FedEx facility	RONKONKOMA, NY	
Feb 8, 2006 5:40 PM	At local FedEx facility	RONKONKOMA, NY	
10:35 AM	Arrived at FedEx location	MEMPHIS, TN	
9:35 AM	Delivery exception	RONKONKOMA, NY	Incorrect address
8:55 AM	On FedEx vehicle for delivery	RONKONKOMA, NY	
8:15 AM	At local FedEx facility	RONKONKOMA, NY	
4:06 AM	Arrived at FedEx location	OAKLAND, CA	
1:38 AM	Departed FedEx location	NEWARK, NJ	
12:24 AM	Arrived at FedEx location	NEWARK, NJ	
Feb 7, 2006 8:54 PM	Left origin	WASHINGTON, DC	
7:58 PM	Picked up	WASHINGTON, DC	
10:17 AM	Package data transmitted to FedEx		

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Your Name: Your Email Address:

Email address

Language

Exception updates

Delivery updates

<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>

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Detailed Results

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Select another track: **790323442059** Next

Tracking number 790323442059
Signed for by F.WILSON
Ship date Feb 15, 2006
Delivery date Feb 16, 2006 9:13 AM

Reference
Destination
Delivered to
Service type
Weight

RTS 790805022852
WASHINGTON, DC
Receptionist/Front Desk
Express Saver Pak
1.0 lbs.

Status Delivered

Signature Proof of Delivery

Click **Request copy of signature** to view delivery information for this shipment.

Request copy of signature

Date/Time	Activity	Location	Details
Feb 16, 2006	9:13 AM	Delivered	WASHINGTON, DC
	8:27 AM	On FedEx vehicle for delivery	WASHINGTON, DC
	7:54 AM	At local FedEx facility	WASHINGTON, DC
	6:55 AM	At dest sort facility	DULLES, VA
	3:52 AM	Departed FedEx location	MEMPHIS, TN
Feb 15, 2006	12:37 AM	Arrived at FedEx location	MEMPHIS, TN
	8:14 PM	Left origin	RONKONKOMA, NY
	10:52 AM	Picked up	RONKONKOMA, NY
	10:01 AM	Package data transmitted to FedEx	

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WASHINGTON, D.C. 20001-4597

TEL:
FAX:
WWW

EXHIBIT F

Antho
Direct Dial: (202) 824-3244
akandare@bannerwitcoff.com

February 7, 2006

Ziyang Duan
38 Crown Acres Road
Centereach, NY 11720

VIA FEDERAL EXPRESS

Re: U.S. National Phase Patent Application No. 10/560,285
Based on PCT/US2004/018435, filed 10 June 2004
Entitled: BUSINESS PROCESS AUTOMATION
Named inventors: Subhra Bose et al.
Applicant: Reuters America, Inc.
Our Reference no. 003433.00096

Dear Ziyang:

You are listed as one of the inventors on the above referenced patent applications. As outside counsel for Reuters, we need to confirm that you contributed to the conception of at least one of the pending claims in these applications and, thus, should be listed as an inventor on them. If so, we need to obtain your signature on the enclosed Declaration and Assignment forms as soon as possible so as to perfect the filing of the patent applications.

The applications are identical, as the U.S. application is simply a copy of the international patent application as filed in the United States. Thus, we have only included a copy of the parent international patent application as published for your review.

Please review the enclosed application and your residence and citizenship information listed on the attached Declaration and Assignment forms. After doing so, please sign and date the Declaration and Assignment forms, and return the signed documents to me in the enclosed Federal Express envelope.

If you have any questions, or believe you should not be listed as an inventor on these applications, please contact me on 202-824-3244 as soon as possible.

Sincerely,

Anthony W. Kandare

AWK/dah
Enclosures

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PORTLAND, OR

PEOPLE FINDER UTILITY HOOK-UP RECORD

Information Current	08-10-2007
Through:	
Database Updated:	08-23-2007
Update Frequency:	MONTHLY
Current Date:	08/31/2007
Source:	Data by InfoUSA, Copyright 2007, All Rights Reserved

HEAD OF HOUSEHOLD INFORMATION

Name:	ZIYANG DUAN
Age Range:	XX-XX
08/22/2007	Address:
32 WEST AVE	
HICKSVILLE, NY 11801-4620	County:
NASSAU	

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- ☐ Unable to collect C.O.D. charges.
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 - ☐ Recipient moved and left no forwarding address or phone number.
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BILL RECIPIENT
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 1001 G ST. NW SUITE 1100

WASHINGTON, DC 20001

FedEx
 EXPRESS

SHIP DATE: 1/17/90
 Actual Wgt: 1.0 LB MAN
 System#: 507815CAFE284
 Account#: 8
 REF: RT5790313269520



Delivery Address Bar Code

EXPRESS SAVER PACKAGE

TRK# 7103 1689 1611 FORM 0201

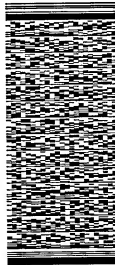
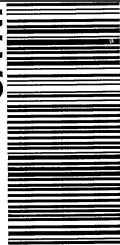
IAD

A1

20001 -DC-US

SANHIKA

EXHIBIT H



1-17-90



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INTELLECTUAL PROPERTY LAW

1001 G STREET, N.W.
WASHINGTON, D.C. 20001-4597

TEL:
FAX:
WWW:

EXHIBIT I

Author
Direct Dial: (202) 624-9244
akandare@bannerwitcoff.com

February 7, 2006

Radu Grosu
32 Neptune Road
Rocky Point, NY 11778

VIA FEDERAL EXPRESS

Re: U.S. National Phase Patent Application No. 10/560,285
Based on PCT/US2004/018435, filed 10 June 2004
Entitled: BUSINESS PROCESS AUTOMATION
Named inventors: Subhra Bose et al.
Applicant: Reuters America, Inc.
Our Reference no. 003433.00096

Dear Radu:

You are listed as one of the inventors on the above referenced patent applications. As outside counsel for Reuters, we need to confirm that you contributed to the conception of at least one of the pending claims in these applications and, thus, should be listed as an inventor on them. If so, we need to obtain your signature on the enclosed Declaration and Assignment forms as soon as possible so as to perfect the filing of the patent applications.

The applications are identical, as the U.S. application is simply a copy of the international patent application as filed in the United States. Thus, we have only included a copy of the parent international patent application as published for your review.

Please review the enclosed application and your residence and citizenship information listed on the attached Declaration and Assignment forms. After doing so, please sign and date the Declaration and Assignment forms, and return the signed documents to me in the enclosed Federal Express envelope.

If you have any questions, or believe you should not be listed as an inventor on these applications, please contact me on 202-824-3244 as soon as possible.

Sincerely,

Anthony W. Kandare

AWK/dah
Enclosures

CHICAGO
WASHINGTON, D.C.
BOSTON
PORTLAND, OR.

Search Result of First name:"Radu", Last name:"Grosu" & Status: "Any"

EXHIBIT J**Radu Grosu**

Status Faculty
E-mail Address Radu.Grosu@stonybrook.edu
MailBox RGROSU@notes.cc.sunysb.edu
Department Computer Science
Campus Address 1425 Computer Science Building, Stony Brook, NY 11794-4400
Phone 631-632-9801



Close Window

Track Shipments
Detailed Results**EXHIBIT K**

Tracking number 792680312109
Signed for by K.GERMANA
Ship date Mar 8, 2006
Delivery date Mar 9, 2006 9:53 AM

Reference 003433.00096
Destination Stony Brook, NY
Delivered to Receptionist/Front Desk
Service type Priority Pak
Weight 2.0 lbs.

Status Delivered

Signature Proof of Delivery

Click [Request copy of signature](#) to view delivery information for this shipment.

Signature Image

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Date/Time	Activity	Location	Details
Mar 9, 2006	9:53 AM Delivered	Stony Brook, NY	
	8:42 AM On FedEx vehicle for delivery	RONKONKOMA, NJ	
	7:56 AM At local FedEx facility	RONKONKOMA, NJ	
	3:51 AM Departed FedEx location	NEWARK, NJ	
	1:41 AM Arrived at FedEx location	NEWARK, NJ	
Mar 8, 2006	9:08 PM Left origin	WASHINGTON, DC	
	7:44 PM Picked up	WASHINGTON, DC	
	3:29 PM Package data transmitted to FedEx		

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Language

Exception updates

Delivery updates

English



English



English



English

Select format: ☒ HTML ☐ Text ☐ Wireless

Add personal message:

Not available for Wireless or non-English characters.

By selecting this check box and the Submit button, I agree to these Terms and

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